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Annual Performance Report

Reporting Period: June 29, 2013- September 30, 2014

Program Title: Coastal Climate Change Adaptation: Marine Protected Areas (MPA) as a platform for building community, ecological, and social resilience among coastal municipalities in the Philippines

Cooperative Agreement No.: AID-492-A-12-00006

Cooperative Agreement Period: June 29, 2012 - June 29, 2016

To:

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1. Comparison of actual accomplishments against goals established for the period in the Annual Work Plan and Performance Monitoring and Evaluation Plan

Goal #1	Build coastal communities' social adaptive capacity to climate change through social marketing using the Rare Pride model	
Year 2 Activities (June 29, 2013 – September 30, 2014) to achieve Goal #1:		
Activities	Timing	Accomplishments to date
<ul style="list-style-type: none">In-depth training of partners on design and conduct of comprehensive marketing campaigns. The 2nd University Phase will involve 7 weeks of Masters' level classroom training on the development of marketing plans, design and implementation of campaigns for target audience behavior change, key influencers and community mobilization, while the 3rd University Phase will be 4 weeks of Masters' level classroom training on analysis of post- campaign KAP survey results, sustainability planning and packaging of campaign learning, plus 40 weeks of remote mentoring and practical coaching at the partners' site. Conservation Fellows will be asked to demonstrate their skills in the field as part of their graded UTEP course requirements.	Q1 June 2013 Q4 July 2014- August 2014	<ul style="list-style-type: none">Second University Phase (7 weeks of in-depth training) started on June 12, 2013 and ended on July 31, 2013. Conservation Fellows have developed their campaign work plans based on target audience plans, community mobilization plans, governance and enforcement monitoring plans and key influencers strategy.Third University Phase started last July 13 2014 and culminated last August 13, 2014. This involved the analysis of post-campaign KAP survey results, sustainability planning, year 3 work plans and packaging of campaign learning.The Conservation Fellows did their final presentations on August 13 in front of invited guests including alumni of Philippines 1 and incoming participants of Philippines 3.
<ul style="list-style-type: none">Build on the 'Program for Sustainable Fishing in the Philippines' social networking group on www.rareplanet.org to establish a marine climate adaptation community of practice on the Internet	Q1-Q4 June 2013 to September 2014	<ul style="list-style-type: none">The campaign pages found in the website www.rare.org are regularly updated by the Conservation Fellows. The updates are reviewed and monitored by Rare's Pride Program Managers.

		<ul style="list-style-type: none"> All campaign managers also maintain a Facebook page which is linked to the group Marine Protected Areas Philippines.
<ul style="list-style-type: none"> Implementation of social marketing strategy and materials to increase the knowledge, attitudes and practices of the target audience. Measurement of Knowledge, Attitudes and Practices of target audiences after the campaign to determine impact of the campaign 	Q2-Q4 June 2013 to June 2014	<ul style="list-style-type: none"> All Conservation Fellows successfully launched (between the months of September 2013-December 2013) implemented their campaigns. Second and third wave of campaign materials were also released. This includes school visits, short public announcements in local radio stations, basketball tournaments, community murals, etc. In April 2014, the Conservation Fellows had a week- long workshop in Palawan to discuss preparations and the methodology for implementing the post-campaign Knowledge, Attitudes, Practice Survey and also to discuss upcoming MPA network planning workshops for each of the sites. Measurement of the Knowledge, Attitudes and Practices of target audiences after the campaign were conducted and analyzed.

Anticipated Outcomes for Year 2 Activities (as related to full program indicators in above table):

Program Indicators/Measures	Interim Results	Accomplishments to date
3. Population of program municipalities and average cumulative percentage point improvement in knowledge, attitudes, and practices before and after project interventions across all sites as measured by quantitative	<ul style="list-style-type: none"> By July 2013, data from qualitative and quantitative research will have been analyzed to determine baseline levels for knowledge, attitudes, interpersonal communications, and self-reported behavior for key 	<ul style="list-style-type: none"> During the second university phase, analysis of the quantitative and qualitative data, finalization of the key target audience and community mobilization activities were

surveys.	<p>target audiences at all 13 program sites.</p> <ul style="list-style-type: none"> By August 2014, post-campaign quantitative surveys have been collected and analyzed to determine increases in knowledge, attitudes, interpersonal communications and self-reported behavior for key target audiences at all 13 program sites. 	<p>completed in all 13 sites.</p> <ul style="list-style-type: none"> Conservation Fellows collected data which they analyzed during the third University Phase. They were able to compare their baselines to the data they collected after the implementation of the campaigns.
3. % pass rate for Master's degree.	<ul style="list-style-type: none"> By August 2014, after the third University Phase, all 13 Conservation Fellows will have completed 10 graded assignments that correlate with another 4 of UTEP's courses, which fulfills 12 credited hours. This will complete their Masters' Degree requirement so they can graduate. 	<ul style="list-style-type: none"> All 13 Conservation Fellows have completed and passed all the requirements for them to complete their Masters' Degree requirement so they can graduate. Conferment of degrees this December 2014. Two fellows were invited by University of Texas in El Paso to participate in the actual university Commencement ceremony (expenses c/o UTEP)

Goal #2		
Improve Climate Adaptive management of municipal MPA networks		
Year 2 Activities (June 29, 2013 – September 30, 2014) to achieve Goal #2:		
Activities	Timing	Accomplishments to date
<ul style="list-style-type: none"> Conduct biophysical characterizations, climate vulnerability and participatory coastal resource assessments. Results of climate vulnerability workshops conducted in Q4 of Year 1 will be shared with community, and repeat biophysical monitoring will be conducted in partnership with UP MERF after the social marketing campaigns have launched. 	<p>Q3 and Q4 Jan-June 2014</p>	<ul style="list-style-type: none"> Vulnerability assessments were conducted last May 2013 in the following sites- Caramoan, Bindoy and Ipil. Results were used as inputs to the MPA plans. The results were also shared to the communities last June 2013. As of June 2014, MPA networking planning were conducted in 9 areas. Results of the Vulnerability Assessments in the

		<p>three sites were used as an input to these workshops where applicable.</p> <ul style="list-style-type: none"> Completed all biophysical assessments in all 13 sites. These were all compared to the baseline. Summary of the results are included in Appendix 1. Installation of permanent transects for monitoring by the local management teams will be conducted during the Sustainability Phase/ Alumni Program.
<ul style="list-style-type: none"> Train and mentor POs, LGUs and NGOs at sites to implement MPA governance and enforcement. Activities will include those related to: <i>TWG and Mancom meetings, Design for MPA Hotlines for appropriate Sites, Cross visits of ManComs and TWGs, Construction and Repair of Guardhouse and acquisition of enforcement assets and equipment, Continuation of MPA Enforcement and Workplanning, Trainings for MPA guards, Installation of logbooks, trainings on the use of logbooks, Issuance of Special Orders for the Enforcement Teams for MPAs, Launching of Guardhouses for Enforcement, Oathtaking of Enforcement Teams, Communications planning, Review of MPA Management Plans, On-call coaching for MPA Governance and Management Activities, Adoption of MPA Management Plans, Updating of Data Management Tools</i> 	<p>Q2 July – December 2013</p>	<p>As of September 30, 2014</p> <ul style="list-style-type: none"> <u>Enforcement trainings-</u> All 13 sites completed their enforcement trainings in 2013. Participants in the local enforcement trainings included the enforcement teams, management committees, and technical teams of Local Government Units. The training was facilitated by Rare staff, with invited resources persons from the Philippines National Police (PNP), Provincial Fisheries Staff, BFAR Technical Staff, advocate lawyers for the environment, and other LGU technical staff. The training is focusing on supporting the behavior change targets of the campaign. An additional Coastal Law Enforcement training was conducted in Roxas, Palawan last March 2014 for new members of the Enforcement team. <u>Enforcement hotlines-</u> 11 sites have installed their enforcement

		<p>hotlines. These enforcement hotlines support the behavior change targets on reporting intrusions inside the MPA. A concrete platform in installing and maintaining hotlines is also established. 1 hotline report has led to the apprehension of a net fishing gear user.</p> <ul style="list-style-type: none"> • <u>Construction of guardhouses with the support funds from Rare-</u> There are 7 completed. • <u>Enforcement assets</u> have also been purchased. With funding support from Rare, each of the sites are purchasing kitchen utility supplies for the guardhouses, binoculars, whistles, mask and snorkels, life jackets, GPS, camera, enforcement uniforms, and patrol boats, all for the purpose of strengthening their enforcement program. • <u>Completion of MPA Enforcement and Workplanning,-</u> The Multi-year enforcement program is included in the MPA management plans. • <u>Issuance of Special Orders for the Enforcement Teams for MPAs-</u> 13 Executive Orders creating Technical Working Groups and Management Committees (with committees on Enforcement, Social Marketing and Monitoring); • <u>Oath taking of Enforcement Teams-</u> Oath taking took place in all 13 sites.
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		<ul style="list-style-type: none"> • <u>Adoption of MPA Management Plans</u> 11 MPA Management Plans have been adopted. 1 is currently being updated, 1 is still in the process. • <u>Updating of Data Management Tools</u> completed in all sites completed as among the deliverables during the last university phase..
<ul style="list-style-type: none"> • Conduct marine climate adaptation trainings for fisheries officers, mayors and Sangguniang Bayan members. Climate change Vulnerability Assessment Workshops were conducted in select project sites (Caramoan for Luzon; Bindoy for Visayas; Ipil for Mindanao) and attended by participants from neighboring sites in that cluster. 	Completed March-April 2013	<ul style="list-style-type: none"> • Vulnerability assessments completed in all three sites in May 2013. Local Government Units and partner representatives in non focal areas attended the vulnerability assessment workshop, which included the marine climate adaptation trainings.
<ul style="list-style-type: none"> • MEAT and Organizational Development Final Assessment and Planning. The results will be compared against initial assessment done in 2012 to determine improvement in management 	Q3-Q4 January-May 2014	<ul style="list-style-type: none"> • MEAT and Organizational Development Final Assessment and Planning completed in all 13 sites.
<ul style="list-style-type: none"> • <u>MPA Network Planning</u>. Facilitate MPA network science planning process to embed MPAs and expansion plans in the science needed to create ecological MPA networks. 	Q4 April-June 2014	<ul style="list-style-type: none"> • 9 MPA Network Planning workshops have been completed.
<ul style="list-style-type: none"> • <u>"Climate-proof" LGU Alliance Network Management plans</u> by embedding principles of connectivity, representativeness and other resiliency parameters. 	Q4 April-June 2014	<ul style="list-style-type: none"> • Conducted in 9 sites together with the MPA Network Planning workshops.
<ul style="list-style-type: none"> • Provide advice to incorporate resiliency, disaster preparedness and risk mitigation into <u>Comprehensive Municipal Land Use Planning Process</u>. 	Q4 April-June 2014	<ul style="list-style-type: none"> • Since most of the sites already have Comprehensive Land Use Plans already, Rare focused on providing inputs and advise to the Disaster Risk Management Plans, including the conservation and protection of mangroves and corals as part of climate change mitigation, awareness

		on strengthening of MPAs and protection of habitats as strategy for climate change adaptation.
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Anticipated Outcomes for Year 2 Activities (as related to full program indicators in above table):

Program Indicators/Measures	Interim Results	Accomplishments to date
3. Improvement in "levels" and/or "scores" of each site's MPA management effectiveness improved or maintained as defined by MEAT MPA effectiveness rating methodology.	<ul style="list-style-type: none"> By end of May 2014, post-campaign MEAT assessments will have been conducted in the 13 sites By end of June 2014, MPA Network Plans will have been drafted for each of the sites, incorporating principles of connectivity and climate resilience 	<ul style="list-style-type: none"> MEAT assessments completed in all 13 sites. Appendix 2 MPA network plans have been drafted and in the finalization stage for all sites.

Goal #3

Achieve, adapt, and comprehensively monitor climate adaptation, fisheries, social and conservation outcomes.

Year 2 Activities (June 29, 2013 – September 30, 2014) to achieve Goal #3:

Activities	Timing	Accomplishments to date
<ul style="list-style-type: none"> Follow-up biophysical assessments will be conducted at all 13 partner sites to determine current levels of coral cover and health; fish biomass, diversity, and density; and invertebrate density. These assessments will be conducted by Rare's strategic partner, UP-MSI's MERF organization, and implemented with the help and support of the Implementing Partner and local communities. 	Q3-Q4 January-May 2014	<ul style="list-style-type: none"> Biophysical assessments were conducted in all 13 sites.
<ul style="list-style-type: none"> Follow-up biophysical results will be shared internally with the Rare staff team to determine impact of Pride campaigns on conservation results at the sites. 	Q4 June 2014	<ul style="list-style-type: none"> Results were shared to the Rare staff team and discussed.
<ul style="list-style-type: none"> Follow-up biophysical results will be shared with partners to determine impact of Pride campaigns on conservation results at the sites and to inform sustainability plans moving forward 	Q4 June 2014	<ul style="list-style-type: none"> Results were presented to partners during the last day of the Third University Phase and in the US to various partners including USAID, Bloomberg, Equator Initiative, UNDP, UTEP, and

		GEF. They will be shared to a bigger audience this January 2015.
<ul style="list-style-type: none"> Support more informed management decisions by establishing baseline and longitudinal monitoring of MPA network social conditions, management effectiveness, biological status and related climate variables (with UP MERF). 	Q3-Q4 January-May 2014	<ul style="list-style-type: none"> 3 NEAT assessments were conducted to better assess the social conditions and management effectiveness of the network.

Anticipated Outcomes for Year 2 Activities (as related to full program indicators in above table):

Program Indicators/Measures	Interim Results	Accomplishments to date
4. Improvement in program MPA biophysical condition as measured by University of Philippines Marine Science Institute affiliated non-profit Marine Environment and Research Foundation (MERF) using Uychiaoco et al., 2010 methodology.	<ul style="list-style-type: none"> By May 2014, another round of monitoring has been conducted, as well as repeat biophysical monitoring by UP MERF and the Data Management Tool has been updated By June 2014, consolidation of all monitoring data should be ongoing. 	<ul style="list-style-type: none"> Completed in all 13 sites. Consolidation of data is complete.

Goal #4	Engage supporting institutions and leverage climate adaptation approaches to national scale.	
Year 1 Activities (June 29, 2012 – June 28, 2013) to achieve Goal #4:		
Activities	Timing	Accomplishments to date
<ul style="list-style-type: none">Rare will continue <u>discussions with the League of Municipalities</u> of the Philippines (LMP) to develop a formal partnership and collaboration to run a series of Mayor workshops for non-program mayors.	Q1 – Q4 August 2013 – May 2014	<ul style="list-style-type: none">Rare has signed an MOU with LMP on September 2013 to strengthen existing relationship and to commit to work together to help various coastal communities through Mayors' Workshops. Rare and LMP conducted the first Mayors Workshop last May 2014.
<ul style="list-style-type: none">In collaboration with LMP, Rare will <u>develop workshop content</u>: schedules, agendas, curriculum, and materials to launch a series of Mayor workshops.	Q3-Q4 January – June 2013	<ul style="list-style-type: none">Rare and LMP finalized the content and developed the program flow of the workshop.
<ul style="list-style-type: none">In collaboration with LMP, Rare will conduct the first round of Mayor workshops	Q3-Q4 March-September 2014	<ul style="list-style-type: none">Rare and LMP conducted the first Mayors Workshop last May 2014.

		<p>Representatives from about 18 municipalities of Region V attended the three- day workshop.</p> <ul style="list-style-type: none"> • Rare and LMP conducted an evaluation of the program and have decided to conduct a follow up activity to 8 of those municipalities and shorten the program of the next workshop.
Anticipated Outcomes for Year 2 Activities (as related to full program indicators in above table):		
Program Indicators/Measures	Interim Results	Accomplishments to date
5. Number of non-program mayors trained in core program principles.	<ul style="list-style-type: none"> • By August 2013, partnership with LMP to conduct workshops will have been secured, with corresponding workshop schedule and content. • By February 2014, workshop design, curriculum and materials will have been developed • By June 2014, Mayor workshops will have been conducted for at least 35 municipalities 	<ul style="list-style-type: none"> • The first of the Mayors' Workshops has been completed last May 2014. • Rare will be planning with LMP to discuss the next round of Mayors Workshops.

2. Cumulative quantitative Monitoring and Evaluation data

Cumulative data for USAID GCC indicators:

Indicators	FY 2013			FY 2014		FY 2015	
	Target	Actual	Variance	Target	Actual	Target	Actual
Number of hectares of biological significance and/or natural resources under improved natural resource management as a result of USG assistance (separate sheet for areas of biological significance/PAs/KBAs and non-KBAs)	1,597	2668.7	+ 1072	1597	4768	4768	
Number of stakeholders with increased capacity to adapt to the impacts of climate vulnerability and change as a result of USG assistance	4872	4746	(-) 126	21,091	29137	1200	

Cumulative data for Rare customized indicators:

Baseline MEAT assessments for 14 project sites (completed September 2012)	<p>MEAT Levels:</p> <ul style="list-style-type: none"> • 11 MPAs at Level 0 • 2 MPAs at Level 2 • 1 MPA at Level 3
Summary of MEAT assessments for 13 sites (completed August 2014)	<p>MEAT Levels:</p> <ul style="list-style-type: none"> • 2 MPAs in Pre Established Level • 1 MPA in Level 1 • 8 MPAs in Level 2 • 2 MPAs in Level 3
Pride Results	<p>Average Live Hard Coral Cover (all 13 sites): There is a 7.5% change from 2012 to 2014. Of the 13, 9 sites increased [moved] either to Category Fair, Good or Excellent. Some sites either maintained or decreased due to mechanical breakage brought by typhoons.</p> <p>Fish abundance density: 16% change from 2012 to 2014. In particular, fish species targeted by fishers increased in density by 8%. Of the 13 sites, 6 showed increases in fish abundance density.</p> <p>Fish biomass density: This is the most sensitive metric to protection. On average, a 30.9% change was achieved from 2012 to 2014. Of the 12 site, 7 increased [moved] either to the Very High or High Category. All fish groups increased in density, in particular:</p> <ul style="list-style-type: none"> • Target Fish group, highly preferred by fishers due to economic value: increased by 10.2% between 2012-2014. • Major Fish group, food for consumption but relatively lower economic value: increased by 31.36%. • Indicator Fish group, or species who are closely associated with corals: increased by 31.36%.

3. Information on management issues, including administrative problems or problems with collaborators or implementing partners

- Due to the rigid procurement process of Local Government Units (LGUs), there was a delay in the release of funds by some of the LGUs for the production of social marketing materials.

4. Anticipated future problems, delays, or conditions or constraints that may adversely impact implementation of the program

- None so far

5. Information on security issues, especially as these affect program integrity and safety of cooperating and implementing partners

- None so far

6. The status of finances and expenditures

Current Reporting Period

	A	B	C	D = B + C	E = A - D
Budget Line Items	BUDGET (USD)	PREVIOUSLY REPORTED SPENDING-TO-DATE (USD) <i>June 29, 2012 – September 30, 2013</i>	EXPENDITURES FOR CURRENT REPORTING PERIOD (USD) <i>October 1, 2013 – September 30, 2014</i>	CUMULATIVE EXPENDITURES TO DATE (USD)	REMAINING BUDGET (USD) (Budget - Cumulative)
Personnel	\$322,611	\$162,914	\$204,006	\$366,920	(\$44,309)
Fringe Benefits	\$105,601	\$61,533	\$82,949	\$144,482	(\$38,881)
Travel	\$666,732	\$173,302	\$152,309	\$325,611	\$341,121
Equipment	\$0	\$0	\$0	\$0	\$0
Supplies	\$24,600	\$12,313	\$4,490	\$16,803	\$7,797
Contractual	\$92,400	\$71,076	\$4,413	\$75,489	\$16,911
Other	\$55,712	\$0	\$9,380	\$9,380	\$46,332
<i>Total Direct Charges</i>	<i>\$1,267,656</i>	<i>\$481,138</i>	<i>\$457,547</i>	<i>\$938,685</i>	<i>\$328,971</i>
Indirect Charges	\$229,058	\$76,982	\$196,832	\$273,814	(\$44,756)
TOTALS	\$1,496,714	\$558,120	\$654,379	\$1,212,499	\$284,214

Notes on the Budget: Please note that Rare re-submitted a budget revision request to USAID Philippines on October 17, 2014. The budget revision remains within the scope of the project and will not result in an increase to the USAID Philippines budget contribution. The revised budget includes reallocation of funds within budget lines. Rare is currently awaiting approval from USAID Philippines on the budget revision request.

7. Information on new opportunities for program expansion

Rare recently passed a proposal “Harnessing Markets to Secure a Future for Near-Shore Fishers” to USAID. This is a proposed Global Development Alliance to transform incentives for the sustainable management of near – shore fisheries in the Philippines.

8. Lessons learned and success stories

Attached Success Story document

9. Information on major challenges and constraints faced during the performance period

The CFs' main challenge was to balance campaign related work with ongoing requests from local government offices/ agencies that they are part of.

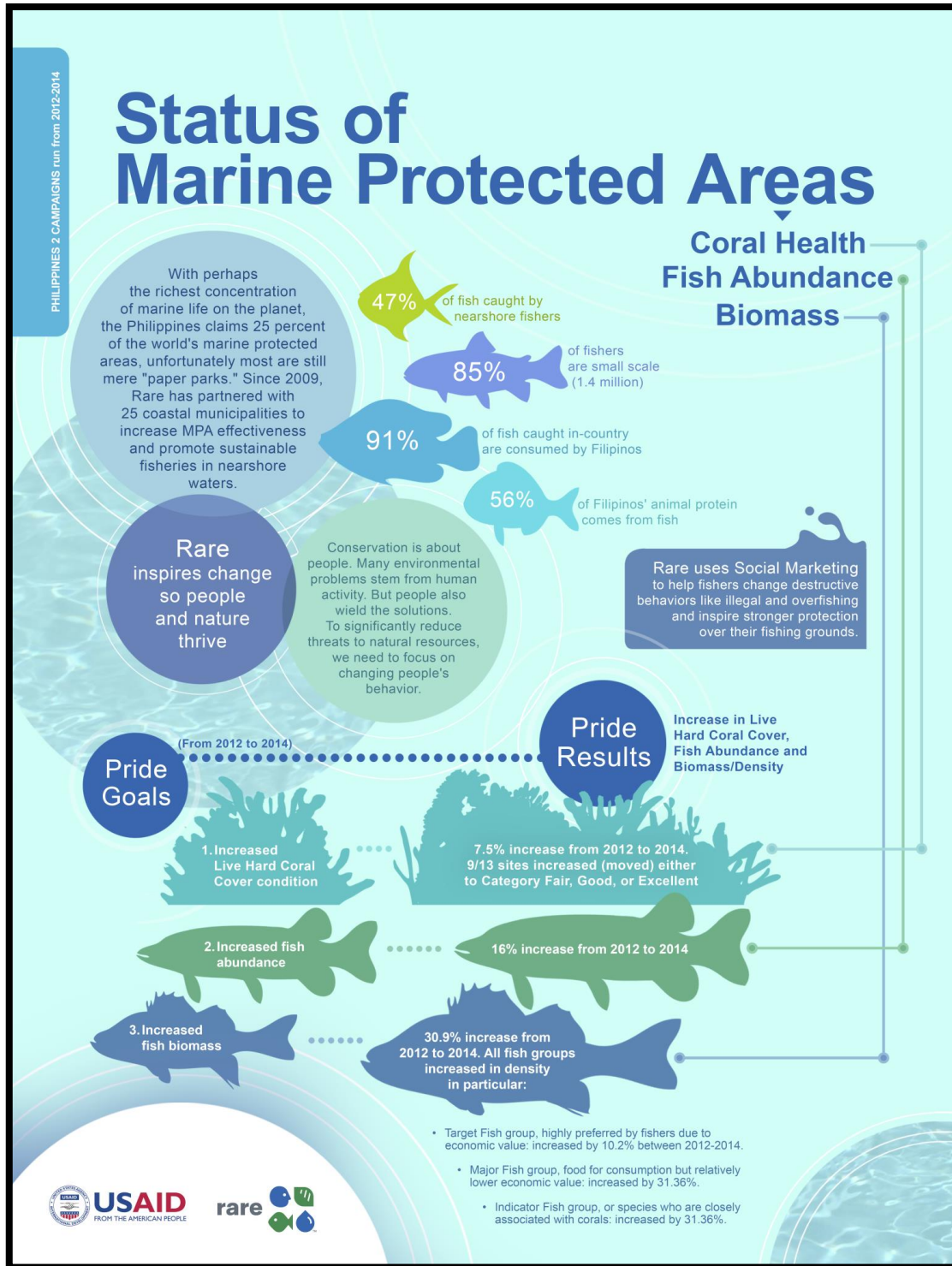
10. Prospects for next year's performance

Alumni Conservation Fellows will be conducting and implementing activities in their Sustainability Plans. Some of them will be implementing Rare's Fish Forever Program over the next few years.

11. Other pertinent information

None

APPENDIX 1 INFOGRAPHICS ON BIOPHYSICAL RESULTS

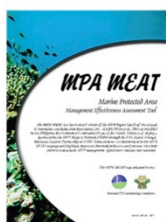


APPENDIX 2 INFOGRAPHICS ON MEAT RESULTS

PHILIPPINES 2 CAMPAIGNS run from 2012-2014

Status of Marine Protected Areas

Governance, Enforcement and Monitoring



Background

Marine Protected Area Management Effectiveness Assessment Tool (MPA-MEAT) is an evidence-based benchmarking tool that assesses the effectiveness of governance and management of MPAs based on 30 years of Philippine experience with MPAs. This tool was used at 13 Rare Philippines 2 campaign sites before and after the implementation of a 'barrier removal' program to improve governance, enforcement and monitoring prior to the launch of social marketing campaigns.

Results

From 2012 to 2014, there was an increase in the actual MEAT Score and a jump in Management Effectiveness Category and Management Level at all 13 campaign sites.

MEAT Score

- Increased MEAT Scores from 2012 to 2014. The increase in score reflects the amount of effort that is inputted into the management of the MPA.
- The change in score ranges from 2 points to 35 with an 18-point average increase for 13 MPAs.

Management Effectiveness Category

- 2012: 5 sites rated as 'Fair' or 'Good'; 7 were 'Very Good' and 1 was 'Excellent'
- 2014: 6 were rated 'Very Good'; 7 were 'Excellent'

Implications of MEAT Results

- Increased levels and score at each MPA means improved efforts in MPA Management in terms of governance, enforcement, monitoring and social marketing.
- High MEAT Level scores are indicative of long term resilience of MPAs against external changes (social and political).
- Better managed MPAs are more climate change resilient.

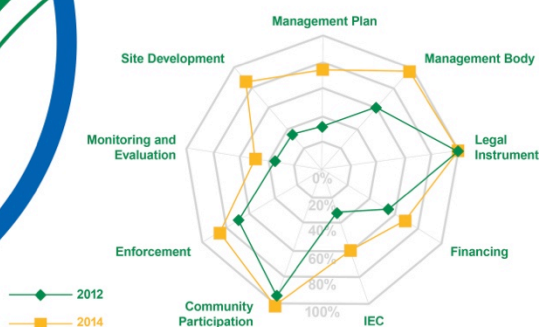
MEAT Assessment Levels



MANAGEMENT EFFECTIVENESS LEVEL MEAT Level Assessment Results in Sites

- Year 2012: 10 MPAs in Pre-established Level
2 MPAs in Level 2
1 MPA in Level 3
- Year 2014: 2 MPAs in Pre-established level
1 MPA in Level 1
8 MPAs in Level 2
2 MPAs in Level 3

MEAT Radial Chart



Radial chart showing 9 management focus areas in the MEAT: governance, enforcement, monitoring and social marketing essential in the management of MPAs



APPENDIX 3 INFOGRAPHICS ON THE PRIDE CAMPAIGNS

